SYMPHONY
FLOATING RATE SENIOR LOAN FUND

Suite 2930, Bay Wellington Tower
Brookfield Place, 181 Bay Street
Toronto, Ontario M5J 2T3

October 15, 2025

Dear Unitholders:

This information circular is being furnished in connection with a special meeting (the "**Meeting**") of the holders (the "**Unitholders**") of class A units (the "**Class A Units**") and class U units (the "**Class U Units**") of Symphony Floating Rate Senior Loan Fund (the "**Fund**") being held at 9:00 a.m. (Toronto time) on November 17, 2025 at Suite 2930, Bay-Wellington Tower, Brookfield Place, 181 Bay Street, Toronto, Ontario M5J 2T3. The purpose of the Meeting is to consider the merger (the "**Merger**") of the Fund, a closed-end investment fund, into Brompton Wellington Square Investment Grade CLO ETF (the "**ETF**"), an exchange-traded fund managed by Brompton Funds Limited (the "**Manager**") that is currently trading on the Toronto Stock Exchange (the "**TSX**") under the symbols BBBB and BBBB.U, with the ETF being the continuing fund (the "**Continuing Fund**"). The material attributes of the Continuing Fund are described in the accompanying management information circular dated October 15, 2025 (the "**Circular**").

The investment objectives of the ETF are to provide its unitholders with high monthly income and capital preservation through investment in a portfolio of primarily investment grade rated collateralized loan obligations ("**CLOs**") (being CLOs rated BBB- or higher, or an equivalent rating thereto, by a designated rating organization) ("**Investment Grade CLOs**"). The ETF seeks to hedge substantially all of its direct foreign currency exposure back to the Canadian dollar. However, any exposure that the ETF's assets allocable to its USD units have to foreign currencies will not be hedged back to the Canadian dollar. The ETF seeks to achieve its investment objectives by investing in an actively managed portfolio of primarily Investment Grade CLOs selected by the ETF's sub-advisor, Wellington Square Advisors Inc., with a minimum of 75% of the ETF's portfolio invested in Investment Grade CLOs. The ETF may also invest up to 25% of its portfolio in CLOs rated BB+ to B- (or an equivalent rating thereto) by a designated rating organization provided that no more than 5% of the ETF's portfolio is invested in CLOs rated below BB- (or an equivalent rating thereto) by a designated rating organization. All ratings are measured as at the time of investment.

A CLO is a bond issued by a CLO investment vehicle ("**CLO Issuer**"). A CLO Issuer offers a series of CLO bond tranches to investors, ranging from higher (AAA) to lower (B) credit quality. The CLO issuer uses the proceeds to buy an actively managed diversified portfolio of senior loans which are posted as collateral to secure the interest and principal repayment of the CLOs issued. An Investment Grade CLO is a higher quality CLO, generally rated BBB- and higher.

The Manager is proposing the Merger as it believes it will provide Unitholders with numerous benefits including the following:

1) ***Higher Credit Quality:*** As at September 30, 2025, the average credit rating of the senior loans held in the Fund's portfolio was B, a credit rating that is considered non-investment grade. At least 75% of the Continuing Fund's portfolio will be invested in Investment Grade CLOs. Issuers of Investment Grade CLOs are considered less likely to default on repayment of principal or payment of interest than issuers of non-investment grade rated obligations.

2) ***Lower Management Expense Ratio:*** The combined portfolio management and management fee payable by the Fund is currently 1.25% of the Fund's net asset value ("**NAV**") plus applicable taxes (the "**Management Fee**"). The management fee payable by the Continuing Fund is 0.60% plus applicable taxes. As of June 30, 2025, the management expense ratio ("**MER**") of the Fund, excluding the cost of borrowing, of the Class A Units and Class U Units was 2.29%, reflecting the Management Fee, plus operating costs and expenses and applicable taxes paid by the Fund. The Manager will pay for certain of the Continuing Fund's operating costs and expenses which are operating costs and expenses that the Fund currently pays for and included in the MER for the Fund.

Accordingly, the MER for Unitholders, excluding borrowing costs of the Fund, is expected to be reduced from 2.29% to less than 0.75% per annum.

3) ***Elimination of Borrowing:*** The Continuing Fund will not borrow to carry out its investment strategy. Accordingly, the Manager expects that the Fund's borrowing costs will be eliminated contributing to the reduction in MER and reduction in volatility as the use of borrowing can enhance the movement in a fund's net portfolio valuations.

4) ***Continued Focus on High Distributions:*** The Fund's current distribution rate is 7.3% based on the NAV of the Class A Units on October 14, 2025. The distribution rate of the Continuing Fund is expected to be comparable to the Fund's current distribution rate.

5) ***Reduced Bid/Ask Spread:*** Unlike closed-end funds which may have fluctuating bid/ask spreads, market makers for exchange-traded funds are able to price their bids and asks for units of an exchange-traded fund close to their estimated net asset value. Accordingly, the Manager expects that the bid/ask spread for the Continuing Fund will be significantly reduced from the Fund's current bid/ask spread. This is beneficial to investors because a smaller bid/ask spread is expected to result in a lower effective cost to buy or sell units of the Continuing Fund.

6) ***Increased Trading Liquidity:*** Following the Merger, approved dealers acting as market makers for the Fund will be able to offer or bid for large volumes of units of the Continuing Fund on the TSX, as approved dealers have the ability to create or redeem units daily in large blocks directly from the Continuing Fund. This is expected to result in improved trading liquidity of the units as it will allow an investor to buy or sell large amounts of units of the Continuing Fund without materially affecting the market price for the units. In addition, following the Merger the Continuing Fund will offer two classes of units one denominated in Canadian dollars (CAD Units) and the other denominated in U.S. dollars ("**USD Units**") and holders of Class U Units of the Fund will receive USD Units of the Continuing Fund in connection with the Merger. The USD Units are listed and traded on the TSX with direct trading liquidity on the TSX so the holders of Class U Units would no longer be required to convert their Class U Units to Class A Units in order to sell their Class U Units on the TSX.

7) ***Trading Closer to NAV:*** The Fund is currently a closed-end fund. Closed-end funds often trade on a stock exchange at a premium or a discount to NAV. Over the past year to September 30, 2025, the Fund has traded at an average discount to NAV of 2.96%. The units of the Continuing Fund are expected to trade on the TSX at or near the NAV, due to improved liquidity provided by market-making dealers who will set the bid and offer prices closer to NAV.

The Fund's annual compound returns are as follows:

Annual Compound Returns (as at September 30, 2025)	YTD	1 Yr	3 Yrs	5 Yrs	10 Yrs	SI (Nov 11/11)
Symphony Floating Rate Senior Loan Fund – Class A	0.6%	2.6%	8.3%	7.2%	4.5%	5.1%
Symphony Floating Rate Senior Loan Fund – Class U	0.3%	2.6%	8.2%	7.2%	4.6%	5.0%

THE MANAGER WILL BEAR ALL THE FUND'S EXPENSES ASSOCIATED WITH THE MEETING AND THE MERGER.

<u>Required Approvals and Manager Recommendation</u>

The board of directors of the Manager (the "Board") has determined that the Merger is in the best interests of the Fund and Unitholders. The Independent Review Committee of the Fund (the "IRC") reviewed the Merger (including the process to be followed in connection with the Merger), and has advised the Manager that, in the IRC's opinion, the Merger achieves a fair and reasonable result for the Fund. Accordingly, the Board unanimously recommends that Unitholders vote <u>FOR</u> the extraordinary resolution approving the Merger (the "Extraordinary Resolution"). The full text of the Extraordinary Resolution is set forth in Appendix "A" of the Circular.

In order to become effective, the Extraordinary Resolution must be approved by at least two thirds of the votes cast, either in person or represented by proxy of the holders of Class A Units and Class U Units (together the "**Units**"), voting together as a single class, at the Meeting or any adjournment(s) or postponement(s) thereof. If approved, the Merger is expected to be implemented on or about January 13, 2026, or such other date as the Manager may determine in its sole discretion, subject to obtaining the required regulatory approvals (the "**Effective Date**"). If the Merger is approved the holders of Class A Units and Class U Units of the Fund will become the holders of CAD Units and USD Units, respectively, of the Continuing Fund on the Effective Date. If the Merger is not approved by Unitholders, it is expected that the Fund will continue to operate as it currently does with the exception that it is expected that the Fund's sub-advisor will change from Nuveen Asset Management, LLC to Wellington Square Advisors Inc.

Unitholders are not required to take any action in order to be recognized as holders of units of the Continuing Fund following the Merger.

If the Merger is approved by Unitholders, the Fund will offer an accelerated annual redemption option to Unitholders on December 30, 2025. Redemption proceeds payable in connection with the accelerated annual redemption will be paid on or before January 9, 2026 to enable Unitholders to exit the Fund if they do not wish to participate in the Merger. However, Unitholders are reminded that the Continuing Fund is expected to provide daily ETF trading liquidity at or near NAV.

Additionally, if the Merger is approved by Unitholders, the last date on which Class U Units may be converted to Class A Units will be January 5, 2026 provided that notice of such conversion is provided to the Fund no later than 3:00 p.m. (Toronto time) on December 23, 2025.

Attached is a notice of special meeting of Unitholders and the Circular which contain important information relating to the Merger. You are urged to read the Circular carefully and consult your financial, legal and tax advisors regarding how to vote. If you are in doubt as to how to deal with the matters described in the Circular, you should consult your financial advisor, or, if you wish, please contact our investor relations team at Brompton by calling 416-642-6000 or 1-866-642-6001 (toll free) or emailing info@bromptongroup.com.

If you wish to vote at the Meeting or any adjournment(s) or postponement(s) thereof, you should submit the enclosed voting instruction form as soon as possible, and in any event no later than 9:00 a.m. (Toronto time) on November 13, 2025 or, if the Meeting is adjourned or postponed, the time that is 48 hours, except Saturdays, Sundays and holidays, before an adjourned or postponed Meeting is to be reconvened. Voting instruction forms may have an earlier deadline and, as such, you should contact your broker or other intermediary through which your Units are held who may have earlier deadlines. If adjourned, the Meeting will be held at 2:00 p.m. (Toronto time) on November 17, 2025. At the adjourned Meeting, the business of the Meeting will be transacted by those Unitholders present in person or represented by proxy.

Beneficial holders of Units (the "**Beneficial Holders**") should note that only proxies deposited by Unitholders whose names appear on the records of the Fund as the registered holders of Units can be recognized and acted upon at the Meeting. Units held by brokers, dealers or their nominees through CDS & Co. ("**CDS**") can only be voted upon the instructions of the Beneficial Holder. Without specific instructions, CDS and brokers, dealers and their nominees are prohibited from voting Units of the Fund for their clients. Applicable regulatory policy requires brokers, dealers and other intermediaries to seek voting instructions from Beneficial Holders in advance of the Meeting. The majority of intermediaries now delegate responsibility for obtaining instructions from clients to Broadridge Financial Solutions, Inc. ("**Broadridge**"). Broadridge typically prepares a voting instruction form that it mails to the Beneficial Holders and asks Beneficial Holders to complete and return directly to Broadridge. Broadridge then tabulates the results of all instructions received and provides appropriate instructions respecting the voting of Units to be represented at the Meeting. **A Beneficial Holder receiving a voting instruction form cannot use that form to vote Units directly at the Meeting. Rather, the voting instruction form must be returned to Broadridge well in advance of the Meeting to have the Units voted.**

If you are a Unitholder and wish to vote in favour of the Merger, you should submit a voting instruction form voting in favour of the Merger well in advance of the 9:00 a.m. (Toronto time) deadline on November 13, 2025 for the deposit of proxies. Voting instruction forms sent by Broadridge may be voted by telephone, mail or through the internet at www.proxyvote.com.

Sincerely,

(signed) "*Mark A. Caranci*"

Mark A. Caranci
President and Chief Executive Officer
Brompton Funds Limited, manager of the Fund

SYMPHONY
FLOATING RATE SENIOR LOAN FUND

NOTICE OF SPECIAL MEETING OF UNITHOLDERS

AND

MANAGEMENT INFORMATION CIRCULAR

October 15, 2025

Meeting to be held at 9:00 a.m. (Toronto time) on November 17, 2025
Suite 2930, Bay Wellington Tower
Brookfield Place, 181 Bay Street
Toronto, Ontario M5J 2T3

TABLE OF CONTENTS

APPENDICES

FORWARD-LOOKING STATEMENTS

Certain statements in this Circular are forward-looking statements, including those identified by the expressions "anticipate", "believe", "plan", "estimate", "expect", "intend" and similar expressions to the extent they relate to the Fund, the ETF, the Continuing Fund or the Manager. Forward-looking statements are not historical facts but reflect the current expectations of the Manager regarding future results or events. Such forward-looking statements reflect the Fund's or the Manager's current beliefs and are based on information currently available to them. Forward-looking statements involve significant risks and uncertainties. A number of factors could cause actual results or events to differ materially from current expectations. Some of these risks, uncertainties and other factors are described under the heading "Other Material Information - Risk Factors" in the Annual Information Form and under the heading "Risk Factors" in the Prospectus, each as filed on the SEDAR+ profile of the Fund and the ETF, respectively, at www.sedarplus.ca. Although the forward-looking statements contained in this Circular are based upon assumptions that the Fund, the ETF and the Manager believe to be reasonable, none of the Fund, the ETF nor the Manager can assure investors that actual results will be consistent with these forward-looking statements. The forward-looking statements contained herein were prepared for the purpose of providing Unitholders with information about the Fund, the ETF and the Continuing Fund and may not be appropriate for other purposes. None of the Fund, the ETF nor the Manager assumes any obligation to update or revise them to reflect new events or circumstances, except as required by law.

SYMPHONY FLOATING RATE SENIOR LOAN FUND

NOTICE OF SPECIAL MEETING OF UNITHOLDERS

TAKE NOTICE that a special meeting (the "**Meeting**") of holders of class A units and class U units (together, the "**Unitholders**") of Symphony Floating Rate Senior Loan Fund (the "**Fund**") will be held at 9:00 a.m. (Toronto time) on November 17, 2025 at Suite 2930, Bay-Wellington Tower, Brookfield Place, 181 Bay Street, Toronto, Ontario M5J 2T3 for the following purpose:

(a) to consider and, if thought appropriate, approve, with or without variation, an extraordinary resolution in the form attached as Appendix "A" to the accompanying management information circular dated October 15, 2025 (the "**Circular**") authorizing and approving, among other things, the proposed merger (the "**Merger**") of the Fund, a closed-end investment fund, with Brompton Wellington Square Investment Grade CLO ETF (the "**ETF**"), an exchange traded fund, with the ETF being the continuing fund (the "**Continuing Fund**"), the acceleration of the Fund's next annual redemption date to December 30, 2025 from March 30, 2026 and the expansion of the Fund's investment strategies to permit the Fund to purchase and hold units of the ETF in anticipation of the proposed Merger; and

(b) to transact such other matters as may properly come before the Meeting or any adjournment(s) or postponement(s) thereof.

Details of the matters to be voted on at the Meeting or any adjournment(s) or postponement(s) thereof are more fully described in the Circular. The full text of the extraordinary resolution relating to the Merger is set out in Appendix "A" to the Circular.

As required by National Instrument 81-107 – *Independent Review Committee for Investment Funds*, the Fund's independent review committee has reviewed the Merger and has provided a positive recommendation in respect of the Merger on the basis that it achieves a fair and reasonable result for the Fund.

DATED at Toronto, Ontario as of the 15th day of October, 2025.

By Order of the Board of Directors of BROMPTON FUNDS LIMITED, as manager of SYMPHONY FLOATING RATE SENIOR LOAN FUND

By: (signed) "*Mark A. Caranci*"
Mark A. Caranci
President and Chief Executive Officer

Note: Reference should be made to the Circular for details of the above matters. If you are unable to be present in person at the Meeting, it is requested that you complete and sign the enclosed voting instruction form and return it in the enclosed prepaid envelope provided for that purpose. Voting instruction forms sent by Broadridge Financial Solutions, Inc. may be voted by mail, telephone or through the internet at **www.proxyvote.com.**

MANAGEMENT INFORMATION CIRCULAR

*Unless otherwise indicated, the information in this management information circular (the "**Circular**") is given as of September 30, 2025 and all dollar amounts are stated in Canadian currency.*

The information contained in this Circular is provided by Brompton Funds Limited (the "**Manager**"), the manager of Symphony Floating Rate Senior Loan Fund (the "**Fund**"), in connection with the solicitation of proxies on behalf of the Manager to be used at the special meeting (the "**Meeting**") of the holders of class A units ("**Class A Units**") and class U units ("**Class U Units**" and together with the Class A Units, the "**Units**") of the Fund (the "**Unitholders**") for the purposes described below.

THE FUND

The Fund is a closed-end investment fund with a registered office located at Suite 2930, Bay Wellington Tower, Brookfield Place, 181 Bay Street, Toronto, Ontario M5J 2T3. The Fund was established under the laws of the Province of Ontario pursuant to a declaration of trust dated January 1, 2011, as amended and restated (the "**Declaration of Trust**"). The Manager acts as manager of the Fund and TSX Trust Company (the "**Trustee**") acts as trustee of the Fund.

Investment Objectives of the Fund

The investment objectives of the Fund are: (i) to provide monthly distributions; and (ii) to preserve capital in each case, through investment in an actively managed, diversified portfolio consisting primarily of short-duration floating rate senior corporate debt instruments, including senior secured loans and other senior debt obligations of North American non-investment grade corporate borrowers.

Investment Strategy of the Fund

The Fund seeks to achieve its investment objectives by investing in an actively managed, diversified portfolio consisting primarily of short-duration senior secured floating rate corporate loans typically issued by non-investment grade companies (and unless specifically noted herein means senior secured floating rate loans issued by non-investment grade companies), with exposure to such gained through (i) direct purchase of senior loans made by banks or other financial institutions to borrowers, (ii) assignments of such interests in senior loans or (iii) participation interests in senior loans ("**Senior Loans**"). Up to 20% of the portfolio may be invested in other non-investment grade corporate debt instruments including floating rate corporate loans with a secured interest in the assets of the borrower that is second in priority to Senior Loans ("**Second Lien Loans**"), bonds issued by corporations with a rating of BB+ or less from Standard & Poor's, a division of The McGraw-Hill Companies, Inc., or Ba1 or less from Moody's Investor Service, Inc. or a similar rating from another qualified rating agency, which are typically issued at fixed rates and are unsecured ("**High-Yield Bonds**") and income producing securities, other than Senior Loans, Second Lien Loans and High-Yield Bonds, including but not limited to, investment and non-investment grade debt securities, convertible securities and structured notes, mortgage-related and other asset-backed securities and sovereign debt securities ("**Other Investments**").

The Fund invests in senior secured floating rate corporate loans, through (i) direct purchase of Senior Loans made by banks or other financial institutions to borrowers, (ii) assignments of interests in Senior Loans, or (iii) participation interests in Senior Loans. The Fund, in connection with its investments in Senior Loans, Second Lien Loans and other loans, particularly those made to middle-market companies, may act as one of the syndicate of lenders originating a loan, may purchase part, or the entire amount, of a particular loan, and may act as agent in the negotiation of the terms of a loan and in the formation of a syndicate of investors in a loan.

In connection with the restructuring of a Senior Loan, the Fund may determine to or be required to accept equity securities or junior debt instruments in a borrower in exchange for all or a portion of a Senior Loan. In addition, the Fund may acquire equity securities and warrants issued by a borrower or its affiliates as part of a package of investments in a borrower or its affiliates issued in connection with a floating rate instrument or other debt instrument of the issuer. The Fund may also convert a warrant so acquired into the underlying security. The Fund may also purchase other income producing securities including, but not limited to, investment and non-investment grade debt securities, convertible securities and structured notes, mortgage-related and other asset-backed securities and sovereign debt securities. The Fund has the ability to invest in securities of companies in default or distress and also to participate in the restructuring process of such companies.

The portfolio is invested primarily in assets denominated in U.S. dollars. The Manager may hedge substantially all of the value of the portfolio attributable to the Units of each class against foreign currency exposure.

The Fund may employ leverage of up to 40% of total assets for the purposes of acquiring assets for the portfolio and such other short term funding purposes as may be determined by the Manager, in consultation with the Fund's sub-advisor. The Fund may borrow at fixed or floating rates, either directly or indirectly through hedging strategies.

PROPOSED MERGER

The purpose of the Meeting is to consider and, if thought appropriate, approve with or without variation an extraordinary resolution (the "**Extraordinary Resolution**") in the form attached as Appendix "A" to this Circular approving the merger of the Fund (the "**Merger**"), a closed-end investment fund, with Brompton Wellington Square Investment Grade CLO ETF (the "**ETF**"), an exchange-traded fund currently trading on the Toronto Stock Exchange (the "**TSX**") under the symbols BBBB and BBBB.U, with the ETF as the continuing fund (the "**Continuing Fund**"). The material attributes of the Continuing Fund are described in Appendix "B", as well as in the ETF Facts of the ETF dated September 18, 2025 (the "**ETF Facts**") which accompanied the meeting materials mailed to Unitholders.

An exchange-traded fund is a stock exchange listed, continuously offered, open-ended mutual fund. Units of an exchange-traded fund may be offered, exchanged and redeemed daily for a basket of securities and/or cash and may be purchased and sold on an exchange on any trading day.

See Appendix "B" for a comparison of the material attributes of the Fund before the Merger and the Continuing Fund following the Merger.

If the Merger is approved the holders of Class A Units and Class U Units will become holders of CAD Units and USD Units, respectively, of the Continuing Fund. Unitholders are not required to take any action in order to be recognized as holders of units of the Continuing Fund following the Merger. See "Merger Steps" below.

If the Merger is approved by Unitholders, it is expected to occur on or about January 13, 2026 or such other date as the Manager may determine in its sole discretion (the "**Effective Date**"), subject to obtaining the required regulatory approvals .

MERGER STEPS

It is anticipated that the following events will occur in order to give effect to the Merger:

(a) The Fund will transfer all or substantially all of its net assets to the Continuing Fund in consideration for the issuance by the Continuing Fund to the Fund of a whole number of CAD Units and USD Units, the number of which will be determined based on the Exchange Ratio (as defined herein) for each class of Units established as of the close of trading on the business day immediately preceding the Effective Date.

(b) The Exchange Ratio will be calculated based on the relative net asset value of the Class A Units and Class U Units of the Fund and the CAD Units and USD Units of the Continuing Fund, respectively.

(c) Following the transfer of the assets of the Fund to the Continuing Fund and the issuance of CAD Units and USD Units to the Fund, all Class A Units and Class U Units of the Fund will automatically be redeemed and each holder of Class A Units and Class U Units of the Fund participating in the Merger will receive such number of CAD Units or USD Units, as applicable, of the Continuing Fund as is equal to the number of Class A Units and Class U Units of the Fund held multiplied by the Exchange Ratio of such units.

(d) The Fund will terminate following the completion of the Merger.

If the Merger is approved by Unitholders, the Fund's Declaration of Trust (as defined herein) will be amended to accelerate the Fund's next annual redemption date to December 30, 2025 from March 30, 2026 and to expand the Fund's investment strategies to permit the Fund to purchase and hold units of the ETF in anticipation of the proposed Merger. Unitholders will be able to exercise their annual redemption right on December 30, 2025 (the "**Annual Redemption Right**") by tendering their Units for redemption on or before December 1, 2025. Payment of redemption proceeds in connection with the Annual Redemption Right will be made on or before January 9, 2026. Unitholders that wish to redeem Units of the Fund on the Annual Redemption Right should vote in favour of the Extraordinary Resolution and subsequently exercise their Annual Redemption Right in accordance with the terms of the Fund's Declaration of Trust.

If the Merger is approved by Unitholders the last date on which Class U Units may be converted to Class A Units will be January 5, 2026 provided that notice of such conversion is provided to the Fund no later than 3:00 p.m. (Toronto time) on December 23, 2025.

Basis of Exchange

Class A Units and Class U Units of the Fund will be exchanged for CAD Units and USD Units, respectively, of the Continuing Fund at an exchange ratio (the "**Exchange Ratio**") calculated based on the relative net asset value per applicable unit of the Fund and the Continuing Fund as at the close of trading on the TSX on the business day immediately preceding the Effective Date, which net asset value per unit will be calculated in accordance with each fund's respective valuation policies.

No fractional units of the Continuing Fund, or cash in lieu thereof, will be issued as a result of the Merger. Any fractional units of the Continuing Fund resulting from the Merger will be rounded down to the nearest whole number.

Exchange of Units

If the Merger is approved, Unitholders are not required to take any action in order to be recognized as unitholders of the Continuing Fund.

On the Effective Date, the Class A Units and Class U Units of the Fund will automatically be exchanged for that number of CAD Units and USD Units, respectively, of the Continuing Fund based on the Exchange Ratio. Registration of the beneficial interests in CAD Units and USD Units of the Continuing Fund will be made only through the book-based system administered by CDS Clearing & Depository Services Inc.

Taxation of Exchange

The Merger is expected to occur on a taxable basis, meaning it would be considered a disposition for tax purposes and may have tax consequences for Unitholders and is not considered to be a qualifying exchange under section 132.2 of the Income Tax Act (Canada) (the "**Tax Act**"). See *"Tax Considerations Regarding the Merger"*. The Fund is expected to have sufficient capital losses such that it will not realize a net capital gain as a result of the sale of its portfolio assets. See *"Rationale and Benefits of the Proposed Merger"*.

RATIONALE AND BENEFITS OF THE PROPOSED MERGER

The Manager is proposing the Merger as it believes it will provide Unitholders with numerous benefits including the following:

1) ***Higher Credit Quality:*** As at September 30, 2025, the average credit rating of the senior loans held in the Fund's portfolio was B, a credit rating that is considered non-investment grade. At least 75% of the Continuing Fund's portfolio will be invested in Investment Grade CLOs. Issuers of Investment Grade CLOs are considered less likely to default on repayment of principal or payment of interest than issuers of non-investment grade rated obligations.

2) ***Lower Management Expense Ratio:*** The combined portfolio management and management fee payable by the Fund is currently 1.25% of the Fund's net asset value ("**NAV**") plus applicable taxes (the "**Management Fee**"). The management fee payable by the Continuing Fund will be 0.60% plus applicable taxes. As of June 30, 2025, the management expense ratio ("**MER**") of the Fund, excluding the cost of borrowing, of the Class A Units and Class U Units was 2.29%, reflecting the Management Fee, plus operating costs and expenses and applicable taxes paid by the Fund. The Manager will pay for certain of the Continuing Fund's operating costs and expenses which are operating costs and expenses that the Fund currently pays for and included in the MER for the Fund. Accordingly, the MER for Unitholders, excluding borrowing costs of the Fund, is expected to be reduced from 2.29% to less than 0.75% per annum.

3) ***Elimination of Borrowing:*** The Continuing Fund will not borrow to carry out its investment strategy. Accordingly, the Manager expects that the Fund's borrowing costs will be eliminated contributing to the reduction in MER and reduction in volatility as the use of borrowing can enhance the movement in a fund's net portfolio valuations.

4) ***Continued Focus on High Distributions:*** The Fund's current distribution rate is 7.3% based on the NAV of the Class A Units on October 14, 2025. The distribution rate of the Continuing Fund is expected to be comparable to the Fund's current distribution rate.

5) ***Reduced Bid/Ask Spread:*** Unlike closed-end funds which may have fluctuating bid/ask spreads, market makers for exchange-traded funds are able to price their bids and asks for units of an exchange-traded fund close to their estimated net asset value. Accordingly, the Manager expects that the bid/ask spread for the Continuing Fund will be significantly reduced from the Fund's current bid/ask spread. This is beneficial to investors because a smaller bid/ask spread is expected to result in a lower effective cost to buy or sell units of the Continuing Fund.

6) ***Increased Trading Liquidity:*** Following the Merger, approved dealers acting as market makers for the Fund will be able to offer or bid for large volumes of units of the Continuing Fund on the TSX, as approved dealers have the ability to create or redeem units daily in large blocks directly from the Continuing Fund. This is expected to result in improved trading liquidity of the units as it will allow an investor to buy or sell large amounts of units of the Continuing Fund without materially affecting the market price for the units. In addition, following the Merger the Continuing Fund will offer two classes of units one denominated in Canadian dollars (the "**CAD Units**") and the other denominated in U.S. dollars (the "**USD Units**") and holders of Class U Units of the Fund will receive USD Units of the Continuing Fund in connection with the Merger. The USD Units are listed and traded on the TSX with direct trading liquidity on the TSX so the holders of Class U Units would no longer be required to convert their Class U Units to Class A Units in order to sell their Class U Units on the TSX.

7) ***Trading Closer to NAV:*** The Fund is currently a closed-end fund. Closed-end funds often trade on a stock exchange at a premium or a discount to NAV. Over the past year to September 30, 2025, the Fund has traded at an average discount to NAV of 2.96%. The units of the Continuing Fund are expected to trade on the TSX at or near the NAV, due to improved liquidity provided by market-making dealers who will set the bid and offer prices closer to NAV.

The Fund's annual compound returns are as follows:

Annual Compound Returns (as at September 30, 2025)	YTD	1 Yr	3 Yrs	5 Yrs	10 Yrs	SI (Nov 11/11)
Symphony Floating Rate Senior Loan Fund – Class A	0.6%	2.6%	8.3%	7.2%	4.5%	5.1%
Symphony Floating Rate Senior Loan Fund – Class U	0.3%	2.6%	8.2%	7.2%	4.6%	5.0%

RECOMMENDATIONS

The board of directors of the Manager (the "Board") has determined that the Merger is in the best interests of the Fund and the Unitholders and unanimously recommends that Unitholders vote FOR the Extraordinary Resolution, the full text of which is set forth in Appendix "A" to the Circular, approving the Merger.

In arriving at such determinations, consideration was given to, among other things, factors set forth under *"Rationale and Benefits of the Proposed Merger".*

The Independent Review Committee (the "IRC") of the Fund reviewed the terms of the Merger and recommended that the Merger be put to Unitholders for their consideration on the basis that it achieves a fair and reasonable result for the Fund.

MANAGEMENT OF THE FUND

The Manager was formed pursuant to the *Business Corporations Act* (Ontario) by articles of incorporation dated May 17, 2011. Its head office is located at Suite 2930, Bay Wellington Tower, Brookfield Place, 181 Bay Street, Toronto, Ontario M5J 2T3. Its telephone number is (416) 642-6000, its e-mail address is info@bromptongroup.com and its website address is www.bromptongroup.com. The Manager was organized for the purpose of managing and administering investment funds including the Fund and is a member of the Brompton group of companies. The Manager is registered with the Ontario Securities Commission as a portfolio manager, investment fund manager, commodity trading manager and exempt market dealer and is also registered as an investment fund manager in Quebec and Newfoundland and Labrador.

Pursuant to the amended and restated management agreement dated as of October 27, 2016 (the "**Management Agreement**"), between the Manager and the Fund, the Manager is responsible for providing, or causing to be provided, management and administrative services and facilities to the Fund, and may delegate certain of its powers to third parties at no additional cost to the Fund where, in the discretion of the Manager, it would be in the best interests of the Fund and the Unitholders to do so.

The Manager is also the portfolio manager of the Fund and retained Nuveen Asset Management, LLC (as successor in interest to Symphony Asset Management, LLC) (the "**Sub-Advisor**") to act as the sub-advisor to the Fund pursuant to the terms of an amended and restated sub-advisor agreement dated as of October 27, 2016 between the Manager and the Sub-Advisor. The Sub-Advisor's principal office is located at 333 West Wacker Drive, Chicago, Illinois USA and has additional offices located at 560 Mission Street, 9th Fl., San Francisco, California USA and certain other locations.

In consideration for its services to the Fund, the Fund pays the Manager a management fee equal to 1.25% per annum of the net asset value of the Fund, calculated and payable monthly in arrears, plus applicable taxes (the "**Management Fee**") and reimburses the Manager for all reasonable costs and expenses incurred by the Manager on behalf of the Fund. The Manager is responsible for paying the fees of the Sub-Advisor out of the Management Fee.

Additional information relating to the Manager (including the name, municipality of residence and position of each director and senior officer of the Manager) and the Sub-Advisor is available in the most recent annual information form of the Fund dated March 27, 2025 (the "**Annual Information Form**"). Such information is specifically incorporated by reference into this Circular. The Annual Information Form is available on SEDAR+ at www.sedarplus.ca.

The directors and officers of the Manager collectively hold 2.2% of the outstanding Class A Units of the Fund and 12.0% of the outstanding Class U Units of the Fund.

If the Merger is approved and implemented Unitholders of the Fund will become unitholders of the Continuing Fund. The Manager will act as trustee, manager, portfolio manager and promoter of the Continuing Fund under the Brompton ETFs Declaration of Trust. Wellington Square Advisors Inc. ("**Wellington Square**") will act as sub-advisor to the Continuing Fund pursuant to the terms of an investment sub-advisory agreement between the Manager and Wellington Square, as it may be amended from time to time (the "**Investment Sub-Advisory Agreement**"). Wellington Square is registered with the Ontario Securities Commission as a portfolio manager. The principal office of Wellington Square is located at Suite 2010, 150 King Street West, Toronto, Ontario M5H 1J9.

Pursuant to the Investment Sub-Advisory Agreement, Wellington Square will manage the assets held by the Continuing Fund in accordance with the Continuing Fund's investment objectives and investment strategies and subject to its investment restrictions. Under the Investment Sub-Advisory Agreement, Wellington Square shall be required to exercise its powers and discharge its duties honestly, in good faith and in the best interests of the Continuing Fund and exercise the degree of care, diligence and skill that a reasonably prudent portfolio manager would exercise in comparable circumstances. The Investment Sub-Advisory Agreement will continue indefinitely unless otherwise terminated in accordance with its terms including by either party on 90 days' prior written notice to the other party. In consideration for the services provided by the Continuing Fund, Wellington Square will receive from the Manager a fee, in an amount to be agreed upon by the Manager and Wellington Square from time to time, payable out of the management fee payable by the Continuing Fund to the Manager. The services of Wellington Square will not be exclusive to the Continuing Fund.

Additional information relating to Wellington Square is available in the prospectus of the ETF dated September 18, 2025 (the "**Prospectus**"). The Prospectus is available on SEDAR+ at www.sedarplus.ca.

RISK FACTORS

There are certain risks associated with making an investment in the Continuing Fund, including the following risks arising as a result of the Continuing Fund's exposure to CLOs.

CLO Manager Risk

CLO issuers are managed by investment advisers independent of the Manager and Wellington Square. CLO managers are responsible for selecting, managing, and replacing the underlying bank loans within a CLO issuer's portfolio. CLO managers may have limited operating histories, may be subject to conflicts of interests, including managing the assets of other clients or other investment vehicles, or receiving fees that incentivize maximizing the yield, and indirectly the risk, of a CLO issuer. Adverse developments with respect to a CLO manager, such as personnel and resource constraints, regulatory issues or other developments that may impact the ability and/or performance of the CLO manager may adversely impact the performance of the CLOs in which the Continuing Fund invests.

CLO Risk

The risks of investing in CLOs includes both the credit risk of the underlying loans (including in certain instances covenant-lite loans which contain weaker lender protections including limited financial maintenance covenants or in some cases, no financial maintenance covenants that would typically be included in a traditional loan agreement such as limitations on incurrence of additional debt which may impact the recovery value and/or liquidity of such underlying loans) and risks associated with the CLO issuer structure governing the priority of payments. The degree of such risk will generally correspond to the specific CLO tranche in which the Continuing Fund is invested. The Continuing Fund intends to invest primarily in Investment Grade CLO tranches at the time of purchase; however, this rating does not constitute a guarantee, may be downgraded, and in stressed market environments it is possible that even senior CLO tranches could experience losses due to actual defaults, increased sensitivity to defaults due to collateral default and the disappearance of the subordinated/equity tranches, market anticipation of defaults, as well as negative market sentiment with respect to CLO securities as an asset class. Wellington Square may not be able to accurately predict how specific CLOs or the portfolio of underlying loans for such CLOs will react to changes or stresses in the market, including changes in interest rates. The most common risks associated with investing in CLOs are liquidity risk, interest rate risk, floating rate obligations risk, credit risk, and prepayment risk, among others.

Liquidity Risk

Liquidity risk refers to the possibility that the Continuing Fund may not be able to sell or buy a security or close out an investment contract at a favorable price or time. Consequently, the Continuing Fund may have to accept a lower price to sell a security, sell other securities to raise cash, or give up an investment opportunity, any of which could have a negative effect on the Continuing Fund's performance. Infrequent trading of securities also may lead to an increase in their price volatility. CLOs, and their underlying loan obligations, are typically sold on a private placement basis and therefore are subject to certain restrictions on transfer and sale, potentially making them less liquid than other types of securities. Additionally, when the Continuing Fund purchases a newly issued tranche of CLOs directly from the issuer (rather than from the secondary market), there often may be a delayed settlement period, during which time the liquidity of the CLO may be further reduced. During periods of limited liquidity and higher price volatility, the Continuing Fund's ability to acquire or dispose of CLOs at a price and time the Continuing Fund deems advantageous may be impaired. CLOs are generally considered to be long-term investments and there is no guarantee that an active secondary market will exist or be maintained for any given CLO.

Interest Rate Risk

As interest rates decrease, issuers of the underlying loan obligations may refinance any floating rate loans, which will result in a reduction in the principal value of the CLO's portfolio and require the CLO issuer to reinvest cash at an inopportune time. Conversely, as interest rates rise, borrowers with floating rate loans may experience difficulty in making payments, resulting in delinquencies and defaults, which will result in a reduction in cash flow to the CLO and the CLO investors, including the Continuing Fund which in turn may adversely affect the NAV of the Continuing Fund. An increase in interest rates may cause the value of fixed-income securities held by the Continuing Fund to decline. The Continuing Fund may be subject to a greater risk of rising interest rates due to inflationary trends and the effect of government fiscal and monetary policy initiatives and resulting market reaction to those initiatives.

Floating Rate Obligations Risk

Securities with floating or variable interest rates can be less sensitive to interest rate changes than securities with fixed interest rates, but may decline in value if their interest rates do not rise as much, or as quickly, as interest rates in general. Conversely, floating rate securities will not generally increase in value if interest rates decline. A decline in interest rates may result in a reduction of income received from floating rate securities held by the Continuing Fund (and underlying loan obligations held by a CLO issuer which are subject to floating interest rates) and may adversely affect the value of the Continuing Fund's units. Generally, floating rate securities carry lower yields than fixed notes of the same maturity. The interest rate for a floating rate note resets or adjusts periodically by reference to a benchmark interest rate. The impact of interest rate changes on floating rate investments is typically mitigated by the periodic interest rate reset of the investments. Securities with longer durations tend to be more sensitive to interest rate changes, usually making them more volatile than securities with shorter durations. Benchmark interest rates, such as the Secured Overnight Financing Rate, may not accurately track market interest rates.

Credit Risk

Debt issuers and other counterparties may not honor their obligations or may have their debt downgraded by ratings agencies. Ratings provided by nationally recognized statistical rating organizations represent their opinions of the claims-paying ability of the entities rated by them. Such ratings are general and are not absolute standards of quality. For CLOs, the primary source of credit risk is the ability of the underlying portfolio of loans to generate sufficient cash flow to pay investors on a full and timely basis when principal and/or interest payments are due. Default in payment on the underlying loans will result in less cash flow from the underlying portfolio and, in turn, less funds available to pay investors in the CLO.

Securities that have a low credit rating (such as Non-Investment Grade CLOs) typically have higher credit risk. Credit rating downgrades and defaults (failure to make interest or principal payment) may reflect deterioration in an issuer's financial strength and its ability to make distribution payments which may in turn impact the Continuing Fund's income and its net asset value per unit. Such events may also result in the Continuing Fund having difficulty liquidating its investment in such securities.

Prepayment Risk

During periods of falling interest rates, an issuer of a callable bond may "call" or repay the holder thereof before its stated maturity. CLOs are typically structured such that, after a specified period of time, the majority investor in the equity tranche can call (i.e., redeem) the CLOs issued by the CLO issuer in full. The Continuing Fund may not be able to accurately predict when or which of its CLO investments may be called, resulting in the Continuing Fund having to reinvest the proceeds received from a CLO in unfavorable circumstances, which in turn could cause in a decline in the NAV of the Continuing Fund.

Privately Issued Securities Risk

CLOs are generally privately-issued securities, and are normally purchased pursuant to a prospectus exemption under applicable securities laws in Canada and may only be resold in accordance with the resale requirements under applicable securities laws in Canada. Because there may be relatively few potential purchasers for such securities, especially under adverse market or economic conditions or in the event of adverse changes in the financial condition of the issuer, the Continuing Fund may find it more difficult to sell such securities when it may be advisable to do so or it may be able to sell such securities only at prices lower than if such securities were more widely held and traded. At times, it also may be more difficult to determine the fair value of such securities for purposes of computing the Continuing Fund's NAV due to the absence of an active trading market. There can be no assurance that a privately-issued security previously deemed to be liquid when purchased will continue to be liquid for as long as it is held by the Continuing Fund, and its value may decline as a result.

Mezzanine CLO Risk

The Continuing Fund intends to invest in CLOs with credit ratings below AAA ("**Mezzanine CLOs**"). Mezzanine CLOs are typically subordinate to higher rated tranches in terms of payment priority. Subordinated CLO tranches are subject to higher credit risk and liquidity risk relative to more senior CLO tranches. To the extent a CLO or its underlying loans experience default or are having difficulty making principal and/or interest payments, such subordinate CLO tranches will be more likely to experience adverse impacts, and such impacts are likely to be more severe, relative to more senior and/or higher-rated CLOs, which in turn will adversely affect the performance of the Continuing Fund.

Non-Investment Grade CLO Risk

The Continuing Fund may invest in Non-Investment Grade CLOs. Debt securities rated below Investment Grade (including Non-Investment Grade CLOs) are also referred to as high yield or "junk". Non-Investment Grade CLOs may be more sensitive than Investment Grade CLOs to economic changes, political changes, industry or sector-specific changes, or adverse developments specific to the underlying loans of the Non-Investment Grade CLO, which may adversely affect the value of the CLO. The value of a Non-Investment Grade CLO is generally more dependent on credit risk than an Investment Grade CLO.

Extended Settlement Risk

Newly issued CLOs purchased in the primary market typically experience delayed or extended settlement periods. In the period following such a purchase and prior to settlement these CLOs may be considered less liquid than similar CLOs available in the secondary market. In such circumstances the Continuing Fund bears a risk of loss if the value of the CLO declines before the settlement date or if the Continuing Fund is required to sell the CLO prior to settlement. There is also the risk that the security will not be issued or that the counterparty will not meet its obligation, resulting in a loss of the investment opportunity.

For a full description of the risks associated with an investment in the Continuing Fund, see pages 17 through 26 in the Prospectus which is available at SEDAR+ at www.sedarplus.ca. These risk factors are incorporated by reference in, and form a part of, this Circular. The Prospectus is also available on www.bromptongroup.com. Upon request the Manager will promptly provide copies of the Prospectus free of charge to Unitholders. See "*Additional Information*".

CONDITIONS TO IMPLEMENTING THE MERGER

The Merger will not be implemented unless the Extraordinary Resolution set forth in Appendix "A" is approved by the Unitholders of the Fund in person or represented by proxy at the Meeting, or any adjournment(s) or postponements(s) thereof, and all required regulatory approvals are obtained. In order to become effective, the Extraordinary Resolution must be approved by at least two thirds of the votes cast, by Unitholders of the Fund voting as a single class either in person or represented by proxy at the Meeting or any adjournment(s) or postponements(s) thereof.

There can be no assurance that the conditions precedent to implementing the Merger will be satisfied on a timely basis, if at all. If the requisite Unitholder approval for the Merger is not obtained or if any other required regulatory approval is not obtained, the Merger will not be implemented and the Fund will continue to operate in the same manner it did prior to the Meeting, however the Manager expects that the sub-advisor of the Fund will change from the Sub-Advisor to Wellington Square.

TERMINATION OF THE MERGER

The Merger may, at any time before or after the holding of the Meeting, but no later than the Effective Date, be terminated by the Board without further notice to, or action on the part of, Unitholders if the Board determines in its sole judgment that it would be inadvisable for the Fund to proceed with the Merger.

EXPENSES OF THE MERGER

The Manager will bear all of the Fund's expenses associated with the Meeting and the Merger.

INTERESTS OF MANAGEMENT AND OTHERS IN THE MERGER

The Manager is paid a fee as compensation for the services it provides to the Fund and the Continuing Fund. The amount of such fees is set out in the prospectus of each of the funds as well as Appendix "B" to this Circular.

The management fees and any brokerage commissions paid by the Fund or the Continuing Fund are or will be contained in the audited financial statements of the Fund and the Continuing Fund, respectively.

TAX CONSIDERATIONS REGARDING THE MERGER

The following is, as of the date of this Circular, a summary of the principal Canadian federal income tax considerations generally applicable under the Tax Act to the Fund's Unitholders who at all relevant times, for purposes of the Tax Act, are resident or are deemed to be resident in Canada, hold their Units as capital property and deal at arm's length and are not affiliated with the Fund.

Generally, the Units will be considered to be capital property to a holder provided that the holder does not hold such securities in the course of carrying on a business of buying and selling securities and has not acquired them in one or more transactions considered to be an adventure in the nature of trade. Certain Unitholders who might not otherwise be considered to hold their Units as capital property may, in certain circumstances, be entitled to have them treated as capital property by making the irrevocable election permitted by subsection 39(4) of the Tax Act.

This summary is not applicable to a Unitholder that is a "financial institution" (as defined in the Tax Act for purposes of the mark-to-market rules), a "specified financial institution", a Unitholder an interest in which is a "tax shelter investment" (all as defined in the Tax Act) or a Unitholder that has made a functional currency reporting election for the purposes of the Tax Act.

This summary is based upon the facts set out in this Circular, the provisions of the Tax Act in force on the date of this Circular and the current published administrative policies and assessing practices of the Canada Revenue Agency (the "**CRA**"). This summary takes into account all specific proposals to amend the Tax Act which have been publicly announced by or on behalf of the Minister of Finance (Canada) prior to the date of this Circular (the "**Tax Proposals**"), although there can be no assurance that any such proposals will be implemented in their current form or at all. Except for the Tax Proposals, this summary does not otherwise take into account or anticipate any changes in law, whether by legislative, governmental or judicial decision or action, or administrative policies or assessing practices, and does not take into account any provincial, territorial or foreign tax legislation or considerations which may differ significantly from those discussed in this Circular.

This summary is of a general nature only; it is not exhaustive of all possible Canadian federal income tax considerations applicable to the transactions described herein. No advance income tax ruling has been applied for or obtained from the CRA to confirm the tax consequences of any of the transactions described herein. Moreover, the income or other tax consequences will vary depending on the particular circumstances of a Unitholder, including the province or provinces in which such holder resides or carries on business. This summary is not intended to be legal or tax advice to any particular Unitholder. Unitholders should consult their own tax advisors regarding the tax consequences of the Merger in their particular circumstances.

Tax Consequences to the Fund

If approved, the Merger will not be effected on a tax-deferred basis. Prior to the Merger, the Fund will dispose of its portfolio assets and liabilities for proceeds of disposition equal to the fair market value of those assets net of the Fund's liabilities. As a result, the Fund will realize a capital gain (or a capital loss) equal to the amount by which the proceeds of disposition of a particular portfolio asset exceed (or are exceeded by) the adjusted cost base of the particular portfolio asset, net of any reasonable costs of the disposition.

The Fund is expected to have sufficient capital losses such that it will not realize a net capital gain as a result of the sale of its portfolio assets. It is expected that any unused capital losses and loss carry forwards of the Fund will expire upon the Merger.

To ensure that the Fund will not be subject to tax for its current taxation year, immediately after the sale of its assets , the Fund will, if necessary, distribute a sufficient amount of its net income and net realized capital gains to its Unitholders. Such income allocated to a Unitholder must be included in the Unitholder's income.

On the date of the Merger, the Fund will dispose of its remaining asset (i.e. cash and securities) to the Continuing Fund. The cost to the Fund of the CAD Unit and USD Units of the Continuing Fund received in the course of the Merger will be equal to the fair market value of the Fund's net assets transferred to the Continuing Fund. The distribution of CAD Units and/or USD Units of the Continuing Fund to Unitholders in exchange for Class A Units and/or Class U Units, respectively, of the Fund will not result in a capital gain or loss to the Fund provided that such distribution occurs immediately after the transfer of its remaining asset to the Continuing Fund.

Each of the Fund and the Continuing Fund is, and is expected to be at all material times, a mutual fund trust under the Tax Act. As a result of the Merger, the holders of Class A Units and Class U Units of the Fund will hold CAD Units and USD Units, respectively, of the Continuing Fund.

Tax Consequences to Unitholders

A Unitholder will be considered to have disposed of its Class A Units and/or Class U Units that are exchanged for CAD Units and USD Units, respectively, of the Continuing Fund, for proceeds of disposition equal to the fair market value of the units of the Continuing Fund that it acquired in connection with the Merger. This disposition will result in a capital gain (or a capital loss) to the Unitholder to the extent that the fair market value of the units of the Continuing Fund it received on the exchange exceeds (or is exceeded by) the Unitholder's adjusted cost base of the Class A Units and/or Class U Units of the Fund at that time, net of any reasonable costs of disposition. The Unitholder's cost of the CAD Units or USD Units received in connection with the Merger will be equal to the fair market value of such units at the time they are acquired. Following the Merger, the normal tax rules applicable to Unitholders of the Fund will continue to apply to the Continuing Fund and the unitholders of the Continuing Fund.

Generally, one-half of the amount of any capital gain (a "**taxable capital gain**") realized by a Unitholder in a taxation year must be included in computing such Unitholder's income for that year, and one-half of any capital loss (an "**allowable capital loss**") realized by a Unitholder in a taxation year may be deducted from any taxable capital gains realized by the Unitholder in the year. Allowable capital losses in excess of taxable capital gains realized in a taxation year may be carried back and deducted in any of the three preceding taxation years or carried forward and deducted in any following taxation year against taxable capital gains realized in such years, subject to and in accordance with the provisions of the Tax Act.

Capital gains realized by a Unitholder who is an individual or by a trust may give rise to alternative minimum tax under the Tax Act. A Unitholder that is a Canadian-controlled private corporation ("**CCPC**") or a "substantive CCPC" (as defined in the Tax Act) may be liable to pay an additional refundable tax of 10⅔% on its "aggregate investment income" for the year which will include an amount in respect of taxable capital gains.

VOTING SECURITIES AND PRINCIPAL HOLDERS

As at September 30, 2025, 4,755,670 Class A Units and 224,311 Class U Units were issued and outstanding.

As at September 30, 2025:

a) Brompton Financial Services Inc. directly held beneficially and of record 27,000 Class U Units representing approximately 12.0% of the issued and outstanding Class U Units (Brompton Financial Services Inc. is wholly owned by Raymond Pether, a director of the Manager);

b) The Braaten Joint Partner Trust held 200,000 Class A Units (approximately 4.2% of the issued and outstanding Class A Units) and Brompton Capital Corporation (which is wholly-owned by The Braaten Joint Partner Trust) held 375,000 Class A Units (approximately 7.9% of the issued and outstanding Class A Units) of the Fund; and

c) to the knowledge of the Manager, no other person owned of record more than 10% of the outstanding Class A Units or Class U Units of the Fund other than CDS & Co., the nominee of CDS, which holds all of the Units of the Fund as registered owner for various brokers and other persons on behalf of their clients and others. The names of the beneficial owners of such Units of the Fund are not known to the Manager.

AUDITOR, REGISTRAR AND TRANSFER AGENT AND CUSTODIAN

The auditor of the Fund and the ETF is PricewaterhouseCoopers LLP, Chartered Professional Accountants, Licenced Public Accountants, located in Toronto, Ontario.

TSX Trust Company, at its principal office in Toronto, Ontario, is the trustee, registrar and transfer agent for the Fund and the ETF.

CIBC Mellon Trust Company, located in Toronto, Ontario, is the custodian of the Fund's and the ETF's assets.

DOCUMENTS INCORPORATED BY REFERENCE

Information in respect of the Fund and the ETF has been incorporated by reference in this Circular from documents filed with the Canadian securities regulators which are available electronically on the SEDAR+ website at www.sedarplus.ca. Copies of the documents incorporated herein by reference may be obtained on request without charge from the Corporate Secretary of the Manager at its head office located at Suite 2930, Bay Wellington Tower, Brookfield Place, 181 Bay Street, Toronto, Ontario M5J 2T3. In addition, copies of the documents incorporated herein by reference may be obtained electronically on the designated website for the Fund or the ETF, as applicable.

The following documents, filed with the Canadian securities regulators, are specifically incorporated by reference into and form an integral part of this Circular:

(a) the Annual Information Form of the Fund dated March 27, 2025 for the year ended December 31, 2024;

(b) the annual financial statements of the Fund prepared in accordance with International Financial Reporting Standards as issued by the International Accounting Standards Board dated March 26, 2025, together with the accompanying report of the auditor, for the fiscal year ended December 31, 2024;

(c) the management report of fund performance of the Fund dated March 26, 2025 for the fiscal year ended December 31, 2024;

(d) the unaudited interim financial statements of the Fund prepared in accordance with International Financial Reporting Standards as issued by the International Accounting Standards Board dated August 12, 2025 for the period ended June 30, 2025;

(e) the management report of fund performance of the Fund dated August 12, 2025 for the period ended June 30, 2025; and

(f) the ETF Facts of the ETF.

Any documents of the type described in Section 11.1 of Form 44-101F1 filed by the Fund or the ETF with the Canadian securities regulators subsequent to the date of this Circular and prior to the Meeting shall be deemed to be incorporated by reference in this Circular.

Any statement contained in a document incorporated or deemed to be incorporated by reference herein shall be deemed to be modified or superseded for the purposes of this Circular to the extent that a statement contained herein or in any other subsequently filed document which also is, or is deemed to be, incorporated by reference herein modifies or supersedes such statement. The modifying or superseding statement need not state that it has modified or superseded a prior statement or include any other information set forth in the document that it modifies or supersedes. The making of a modifying or superseding statement shall not be deemed an admission for any purposes that the modified or superseded statement, when made, constituted a misrepresentation, an untrue statement of a material fact or an omission to state a material fact that is required to be stated or that is necessary to make a statement not misleading in light of the circumstances in which it was made. Any statement so modified or superseded shall not be deemed, except as so modified or superseded, to constitute a part of this Circular.

GENERAL PROXY INFORMATION

Circular

This Circular is furnished in connection with the solicitation of proxies by the Manager to be used at the Meeting or at any adjournment(s) or postponement(s) thereof. The Meeting will be held at 9:00 a.m. (Toronto time) on November 17, 2025 at Suite 2930, Bay-Wellington Tower, Brookfield Place, Toronto, Ontario M5J 2T3 to consider the Extraordinary Resolution set forth in Appendix "A" of this Circular. Solicitation of proxies will be primarily by mail and may be supplemented by telephone or other personal contact by representatives or agents of the Manager without additional compensation.

If you have any questions about or require assistance completing the form of proxy, please contact Kathryn Banner, Senior Vice President and Corporate Secretary at (416) 642-6000.

Voting Instructions for Non-Registered Holders

The information set forth in this section is of significant importance to non-registered beneficial holders of Units of the Fund ("**Beneficial Holders**"). All of the Units are held in the book-based system in the name of CDS & Co. ("**CDS**"), the nominee of CDS, and not in the name of Beneficial Holders. Beneficial Holders should note that only proxies deposited by Unitholders whose names appear on the records of the Fund as the registered holders of Units can be recognized and acted upon at the Meeting. Units held by brokers, dealers or their nominees through CDS can only be voted upon the instructions of the Beneficial Holder. Without specific instructions, CDS and brokers, dealers and their nominees are prohibited from voting Units of the Fund for their clients. The Fund does not know for whose benefit the Units of the Fund registered in the name of CDS & Co. are held. Therefore, Beneficial Holders cannot be recognized at the Meeting for purposes of voting their Units in person or by way of proxy unless they comply with the procedure described below.

Applicable regulatory policy requires brokers, dealers and other intermediaries to seek voting instructions from Beneficial Holders in advance of the Meeting. Every intermediary has its own procedures and provides its own return instructions, which should be carefully followed by Beneficial Holders in order to ensure that their Units are voted at the Meeting. Often, the form of proxy supplied to a Beneficial Holder by its intermediary is identical to that provided to registered Unitholders. However, its purpose is limited to instructing the registered Unitholders how to vote on behalf of the Beneficial Holders. The majority of intermediaries now delegate responsibility for obtaining instructions from clients to Broadridge Financial Solutions, Inc. ("**Broadridge**"). Broadridge prepares a voting instruction form that it mails to the Beneficial Holders and asks Beneficial Holders to complete and return directly to Broadridge. Broadridge then tabulates the results of all instructions received and provides appropriate instructions respecting the voting of Units to be represented at the Meeting. **A Beneficial Holder receiving a voting instruction form cannot use that form to vote Units directly at the Meeting. Rather, the voting instruction form must be returned to Broadridge well in advance of the Meeting to have the Units voted.**

If you are a Beneficial Holder and wish to vote in person at the Meeting or any adjournment(s) or postponement(s) thereof, please contact your broker, dealer or other intermediary well in advance of the Meeting to determine how you can do so. Voting instruction forms sent by Broadridge may be completed by telephone, mail or through the internet at **www.proxyvote.com**.

If you are a Unitholder and wish to vote in favour of the Merger, you should submit a form of proxy voting in favour of the Merger well in advance of the 9:00 a.m. (Toronto time) deadline on November 13, 2025 for the deposit of proxies. Voting instruction forms may have an earlier deadline and, as such, you should contact your broker or other intermediary through which your Units are held who may have earlier deadlines.

Proxy Information, Record Date, Voting Rights and Quorum

To be used at the Meeting, a proxy must be deposited with the Trustee (TSX Trust Company) by delivery to its principal offices at 100 Adelaide Street West, Suite 301, Toronto, Ontario, M5H 4H1, Attention: Proxy Department at any time up to 9:00 a.m. (Toronto time) on November 13, 2025 or with the Chair of the Meeting prior to the commencement of the Meeting on the date of the Meeting or by the time that is 48 hours prior to the time of any adjournment(s) or postponement(s) of the Meeting.

Only Unitholders of record at the close of business on October 17, 2025 will be entitled to receive notice of the Meeting and to vote in respect of the matters to be voted at the Meeting or any adjournment(s) or postponement(s) thereof.

With respect to each matter properly put before the Meeting, a registered Unitholder shall be entitled to one vote for each Class A Unit and Class U Unit held by such Unitholder. In order to become effective, the Extraordinary Resolution must be approved by a two-thirds majority of the Unitholders present in person or represented by proxy at the Meeting or any adjournment(s) or postponement(s) thereof.

Pursuant to the Declaration of Trust, a quorum at the Meeting will consist of two Unitholders present in person or represented by proxy holding not less than 5% of the outstanding Units. If the quorum requirement is not satisfied within one-half hour of the scheduled time for the Meeting, then the Meeting will be adjourned by the Chair of the Meeting. If adjourned, the Meeting will be held at 2:00 p.m. (Toronto time) on November 17, 2025. At the adjourned Meeting, the business of the Meeting will be transacted by those Unitholders present in person or represented by proxy.

Appointment of Proxy Holders

Registered Unitholders who are unable to be present at the Meeting or any adjournment(s) or postponement(s) thereof may still vote through the use of proxies. If you are a registered Unitholder, you should complete, execute and return the enclosed proxy form well in advance of the 9:00 a.m. (Toronto time) deadline on November 13, 2025 for the deposit of proxies. By completing and returning the enclosed proxy form, you can participate in the Meeting through the person or persons named on the form. Please indicate the way you wish to vote and your vote will be cast accordingly. **If you do not indicate a preference, the Class A Units or Class U Units represented by the enclosed proxy form, if the same is executed in favour of the Manager's appointees named in the proxy form and deposited as provided in the Notice of Special Meeting (the "Notice"), will be voted <u>FOR</u> the Extraordinary Resolution.**

Discretionary Authority of Proxies

The proxy form confers discretionary authority upon the Manager's appointees named therein with respect to such matters, including without limitation, amendment or variation to the Extraordinary Resolution, as, though not specifically set forth in the Notice, may properly come before the Meeting or any adjournment(s) or postponement(s) thereof. Management of the Manager does not know of any such matter that may be presented for consideration at the Meeting. However, if such a matter is presented, the proxy will be voted on the matter in accordance with the best judgment of the Manager's appointees named in the proxy form.

On any ballot that may be called for at the Meeting, all Units in respect of which the Manager's appointees named in the accompanying proxy form have been appointed to act will be voted in accordance with the specification of the Unitholder signing the proxy form. **If no specification is made, the Units will be voted <u>FOR</u> the Extraordinary Resolution and in accordance with the best judgment of the Manager's appointees named in the proxy form with respect to any other matters that may properly come before the Meeting or any adjournment(s) or postponement(s) thereof.**

Alternate Proxy

A registered Unitholder has the right to appoint a person or company to represent them at the Meeting or any adjournment(s) or postponement(s) thereof other than the Manager's appointees designated on the accompanying proxy form by inserting the name of the person they wish to act as proxy in the blank space provided, or by completing another proxy form. Proxy forms that appoint persons other than the Manager's appointees whose names are printed on the form should be submitted to the Trustee and the person so appointed should be notified. A person acting as proxy need not be a Unitholder.

Revocation of Proxies

If the accompanying form of proxy is executed and returned, the proxy may nevertheless be revoked by an instrument in writing executed by the applicable Unitholder or their attorney authorized in writing, as well as in any other manner permitted by law. Any instrument revoking a proxy must either be deposited (a) at the registered office of the transfer agent no later than 5:00 p.m. (Toronto time) on the day before the Meeting or (b) with the Chair of the Meeting on the day of the Meeting or any adjournment(s) or postponement(s) thereof. If the instrument of revocation is deposited with the Chair on the day of the Meeting or any adjournment(s) or postponement(s) thereof, the instrument will not be effective with respect to any matter on which a vote has already been cast pursuant to that proxy.

Solicitation of Proxies

The cost of the solicitation of proxies in respect of the Meeting will be borne solely by the Manager. The Manager will reimburse brokers, custodians, nominees and fiduciaries for the proper charges and expenses incurred in forwarding this Circular and related materials to Beneficial Holders. In addition to solicitation by mail, officers and directors of the Manager may, without additional compensation, solicit proxies personally or by telephone.

ADDITIONAL INFORMATION

Further information about the Fund is available in the Fund's annual financial statements and management report of fund performance for the financial year ended December 31, 2024 and the Fund's interim unaudited financial statements and management report of fund performance for the period ended June 30, 2025. These documents and other information about the Fund and the ETF are available on SEDAR+ at www.sedarplus.ca. Copies of these documents will be provided by the Manager free of charge upon request. To make such a request, please contact the Manager at Suite 2930, Box 793, Bay Wellington Tower, Brookfield Place, 181 Bay Street, Toronto, Ontario M5J 2T3. Copies of these documents are also available at www.bromptongroup.com.

APPROVAL OF CIRCULAR

The Board has approved the contents and the sending of this Circular to the Unitholders of the Fund.

DATED at Toronto, Ontario this 15th day of October, 2025.

SYMPHONY FLOATING RATE SENIOR LOAN FUND, by its manager, BROMPTON FUNDS LIMITED

(signed) "*Mark A. Caranci*"

Mark A. Caranci
President and Chief Executive Officer

APPENDIX A

EXTRAORDINARY RESOLUTION

BE IT RESOLVED AS AN EXTRAORDINARY RESOLUTION THAT:

a) the merger of the Symphony Floating Rate Senior Loan Fund (the "**Fund**") into Brompton Wellington Square Investment Grade CLO ETF (the "**Continuing Fund**") (the "**Merger**"), the acceleration of the Fund's next annual redemption date to December 30, 2025 from March 30, 2026 and the expansion of the Fund's investment strategies to permit the Fund to purchase and hold units of the Continuing Fund in anticipation of the proposed Merger, each as described in the Fund's management information circular dated October 15, 2025, are hereby authorized and approved;

b) TSX Trust Company shall, if required, take all necessary actions and steps as shall be requested by Brompton Funds Limited (the "**Manager**"), the manager of the Fund, to give effect to the foregoing;

c) the directors and officers of the Manager are hereby authorized and directed to execute on behalf of the Fund and to deliver and to cause to be delivered, all such documents, agreements, instruments and tax elections and resignation, including any amendment to the Fund's declaration of trust, and to do or cause to be done all such other acts and things as it shall determine to be necessary or desirable in order to carry out the intent of the foregoing resolutions and the matters authorized thereby, such determination to be conclusively evidenced by the execution and delivery of such document, agreement, instrument or tax election or designation or the doing of any such act or thing; and

d) this resolution may be revoked for any reason whatsoever in the sole and absolute discretion of the Manager, without further approval of Unitholders at any time prior to the completion of the Merger.

APPENDIX B

COMPARISON OF MATERIAL ATTRIBUTES OF THE FUND AND THE CONTINUING FUND

(capitalized terms used but not defined herein shall have the same meaning attributed thereto in the Circular)

	Fund	**Continuing Fund**
Name	Symphony Floating Rate Senior Loan Fund	Brompton Wellington Square Investment Grade CLO ETF
Structure	Non-redeemable investment fund	Exchange traded mutual fund
Termination Date	No fixed termination date	No fixed termination date
Trustee	TSX Trust Company	Brompton Funds Limited
Investment Fund Manager	Brompton Funds Limited	Brompton Funds Limited
Portfolio Manager	Brompton Funds Limited	Brompton Funds Limited
Sub-Advisor	Nuveen Asset Management, LLC	Wellington Square Capital Advisors Inc.
Independent Review Committee	Raj Kothari (chairman), Patricia Meredith and Eric Wetlaufer	Raj Kothari (chairman), Patricia Meredith and Eric Wetlaufer
Custodian	CIBC Mellon Trust Company	CIBC Mellon Trust Company
Valuation Agent	CIBC Mellon Trust Company	CIBC Mellon Trust Company
Registrar & Transfer Agent	TSX Trust Company	TSX Trust Company
Auditors	PricewaterhouseCoopers LLP	PricewaterhouseCoopers LLP
Ticker Symbol	Class A Units - SSF.UN Class U Units are not listed on an exchange	CAD Units – BBBB USD Units - BBBB.U
Registered Plan Eligibility	RRSPs, RRIFs, DPSPs, RDSPs, TFSAs and RESPs	RRSPs, RRIFs, DPSPs, RDSPs, TFSAs and RESPs
DRIP Eligibility	No (current DRIP to terminate effective October 23, 2025)	No

	Fund	Continuing Fund
Investment Objectives, Strategies & Restrictions		
Investment Objectives	The investment objectives of the Fund are: (i) to provide monthly distributions; and (ii) to preserve capital in each case, through investment in an actively managed, diversified portfolio consisting primarily of short-duration floating rate senior corporate debt instruments, including senior secured loans and other senior debt obligations of North American non-investment grade corporate borrowers.	The investment objectives of the Continuing Fund are to provide unitholders with high monthly income and capital preservation through investment in a portfolio of primarily investment grade rated collateralized loan obligations ("**CLOs**") (being CLOs rated BBB- or higher, or an equivalent rating thereto, by a designated rating organization) ("**Investment Grade CLOs**"). The Continuing Fund seeks to hedge substantially all of its direct foreign currency exposure back to the Canadian dollar. However, any exposure that the Continuing Fund's assets allocable to the USD Units have to foreign currencies will not be hedged back to the Canadian dollar.
Investment Strategies	The Fund seeks to achieve the investment objectives by investing in an actively managed, diversified portfolio consisting primarily of short-duration Senior Loans. Up to 20% of the portfolio may be invested in other non-investment grade corporate debt instruments including Second Lien Loans, High-Yield Bonds and Other Investments. The Fund invests in senior secured floating rate corporate loans, through (i) direct purchase of Senior Loans made by banks or other financial institutions to borrowers, (ii) assignments of interests in Senior Loans, or (iii) participation interests in Senior Loans. The Fund, in connection with its investments in Senior Loans, Second Lien Loans and other loans, particularly those made to middle-market companies, may act as one of the syndicate of lenders originating a loan, may purchase part, or the entire amount, of a particular loan, and may act as agent in the negotiation of the terms of a loan and in the formation of a syndicate of investors in a loan. In connection with the restructuring of a Senior Loan, the Fund may determine to or be required to accept equity securities or junior debt instruments in a borrower in exchange for all or a portion of a Senior Loan. In addition, the Fund may acquire equity securities and warrants issued by a borrower or its affiliates as part of a package of investments in a borrower or its affiliates issued in connection with a floating rate instrument or other debt instrument	The Continuing Fund seeks to achieve its investment objectives by investing in an actively managed portfolio of primarily Investment Grade CLOs. CLOs will be selected by the sub-advisor, with a minimum of 75% of the Continuing Fund's portfolio invested in Investment Grade CLOs. The Continuing Fund may also invest up to 25% of its portfolio in CLOs rated BB+ to B- (or an equivalent rating thereto) by a designated rating organization ("**Non-Investment Grade**") provided that no more than 5% of the Continuing Fund's portfolio is invested in CLOs rated below BB- (or an equivalent rating thereto) by a designated rating organization. All ratings are measured as at the time of investment. CLO issuers use the funds received from the issuance of debt and equity to investors to acquire a diverse portfolio primarily consisting of non-investment grade, senior-secured corporate loans. CLOs are issued by CLO issuers in different tranches, each with a risk/return profile based on its seniority and claim priority on the cash flows produced by the underlying loan pool. The loans that CLO issuers invest in are usually broadly syndicated leveraged loans, or less frequently may be middle market or privately arranged loans, which typically occupy a first-lien position in a company's capital structure, are secured by a company's assets and rank first in priority of payment ahead of unsecured debt and other liabilities in the event of bankruptcy. An investor that holds an equity

	Fund	Continuing Fund
	of the issuer. The Fund may also convert a warrant so acquired into the underlying security. The Fund may also purchase other income producing securities including, but not limited to, investment and non-investment grade debt securities, convertible securities and structured notes, mortgage-related and other asset-backed securities and sovereign debt securities. The Fund has the ability to invest in securities of companies in default or distress and also to participate in the restructuring process of such companies. The portfolio is invested primarily in assets denominated in U.S. dollars. The Manager may hedge substantially all of the value of the portfolio attributable to the Units of each class against foreign currency exposure. The Fund may employ leverage of up to 40% of total assets for the purposes of acquiring assets for the portfolio and such other short term funding purposes as may be determined by the Manager, in consultation with the Sub-Advisor. The Fund may borrow at fixed or floating rates, either directly or indirectly through hedging strategies.	investment in the CLO issuer is an owner of the pool of loans and together with other CLO investors provide financing to acquire the pool of loans. The Continuing Fund may deviate temporarily from targeted portfolio weightings as a result of subscription orders or redemption requests received by the Continuing Fund, a CLO issuer repaying its outstanding liabilities, short-term cash management, taking defensive positions or during unusual market conditions. **Other Investment Strategies** The Continuing Fund may, directly or indirectly, invest a portion of its assets in other investments including cash or cash equivalents or other short-term instruments and securities of one or more other investment funds (including funds managed the Manager) and may invest in or use derivative instruments and/or enter into securities lending transactions, repurchase and reverse purchase transactions, each as further described in the Prospectus.
Investment Restrictions	The Fund is subject to the following investment restrictions as well as certain investment restrictions and practices contained in securities legislation, including NI 81-102: (i) invest more than 20% of the total assets at the time of investment in Second Lien Loans, High-Yield Debt or Other Investments; (ii) invest more than 5% of the total assets at the time of investment in each of (i) convertible securities, (ii) mortgage-related and other asset-backed securities, and (iii) sovereign debt securities; (iii) invest less than 80% of the total assets at the time of investment in Senior Loans, except during the initial invest-up period, to facilitate redemptions and prior to the Fund’s termination; (iv) invest more than 10% of the total assets at the time of investment in securities denominated in non-North American currencies;	The Continuing Fund is subject to certain investment restrictions and practices contained in securities legislation, including NI 81-102. A change to the fundamental investment objectives of the Continuing Fund would require the approval of the unitholders of the Continuing Fund. Subject to the following, and any exemptive relief that has been or will be obtained, the Continuing Fund is managed in accordance with the investment restrictions and practices set out in the applicable securities legislation, including NI 81-102.

	Fund	Continuing Fund
	(v) invest more than 25% of the total assets at the time of investment in the Senior Loans of borrowers in the same industry sector (determined with reference to the industry sectors identified by S&P); (vi) borrow in excess of 40% of the total assets at the time of borrowing; (vii) invest more than 10% of the total assets in the securities of any single issuer (as determined at the time of purchase), other than securities issued or guaranteed by the Government of Canada, the Government of the United States of America or a province, state or territory thereof; (viii) purchase securities of a borrower for the purposes of exercising control or direction, whether alone or in concert, over management of that borrower or if, as a result of such purchase, the Fund would be required to make a take-over bid that is a "formal bid" for the purposes of applicable securities laws, except under circumstances where such borrower is, or is anticipated to be, in breach of the terms of, or in default under, the Senior Loan; (ix) with the exception of securities of the Fund's own issue, purchase securities from, sell securities to, or otherwise contract for the acquisition or disposition of securities with the sub-advisor or the Manager or any of their respective affiliates, any officer, director or shareholder of any of them, any person, trust, firm or corporation managed by the sub-advisor or the Manager or any of their respective affiliates or any firm or corporation in which any officer, director or shareholder of the sub-advisor or the Manager may have a material interest (which, for these purposes, includes beneficial ownership of more than 10% of the voting securities of such entity) unless such transaction complies with NI 81-107;	

	Fund	Continuing Fund
	(x) engage in securities lending that does not constitute a "securities lending arrangement" for purposes of the Tax Act; (xi) make or hold any investments in entities that would be "foreign affiliates" of the Fund for purposes of the Tax Act; (xii) make or hold any securities in a non-resident trust, other than an "exempt foreign trust" as proposed in section 94 of the draft legislation released by the Department of Finance (Canada) on August 27, 2010 (or pursuant to any amendments to such proposals, subsequent provisions enacted into law, or successor provisions thereto); (xiii) at any time, hold any property that would result in the Fund being subject to the tax for SIFT trusts as provided in section 122 of the Tax Act; (xiv) make or hold any investments that could require the Fund to include any material amount in its income pursuant to the offshore investment fund property rules in section 94.1 of the Tax Act (or pursuant to any amendments to such provisions, subsequent provisions as enacted into law, or successor provisions thereto); (xv) acquire any interest in a trust (or a partnership which holds such an interest) which would require the trust (or the partnership) to report income in connection with such interest pursuant to the rules in proposed section 94.2 of the Tax Act, as set forth in the proposed amendments to the Tax Act, dated August 27, 2010 (or amendments to such proposals, provisions as enacted into law or successor provisions thereto);	

	Fund	Continuing Fund
	(xvi) make or hold any investment that would result in the Fund failing to qualify as a "mutual fund trust" for purposes of the Tax Act and will not acquire any property that would be "taxable Canadian property" of the Fund as such term is defined in the Tax Act (if the definition were read without reference to paragraph (b) thereof) (or any amendments to such definition) or other "specified property" as defined in the Tax Proposals released on September 16, 2004; or (xvii) invest in any security that would be a "tax shelter investment" within the meaning of section 143.2 of the Tax Act.	
Distributions and Redemptions		
Distributions	The Fund makes monthly cash distributions payable to Unitholders of record on the last business day of each month and is payable on or before the 10^{th} business day of the subsequent month.	No change.
Annual Redemption	Subject to the Fund's right to suspend redemptions, Unitholders are entitled to surrender Units for redemption in March of each year (the "**Annual Redemption Date**"), provided the Units are surrendered by 5:00 p.m. (Toronto time) the last business day of January. Units surrendered for redemption will be redeemed on the Annual Redemption Date at a redemption price per unit equal to 100% of the net assets per unit of the applicable class (as described in the Declaration of Trust) less any costs and expenses associated with the redemption including brokerage costs and less any net realized capital gains or income of the Fund that are distributed to a Unitholder concurrently with the proceeds of disposition on redemption. Payment for the annual redemption will be made on or before the 10^{th} business day of the month following the Annual Redemption Date.	Not applicable.
Monthly Redemption	Subject to the Fund's right to suspend redemptions, Unitholders are entitled to surrender Units for redemption on the second last business day of each month (the "**Monthly Redemption Date**"), with the exception the second last business day of March, provided the Units are surrendered by no later than 5:00 p.m. (Toronto time)	Not applicable

	Fund	Continuing Fund
	on the last business day of the month prior to the Monthly Redemption Date. Class A Units surrendered for redemption on the Monthly Redemption Date will be redeemed at a redemption price per Class A Unit that is equal to the lesser of (i) 94% of the market price of a Class A Unit, and (ii) 100% of the closing market price of a Class A Unit on the applicable Monthly Redemption Date less, in each case, any costs associated with the redemption including brokerage costs, and less any net realized capital gains or income of the Fund that are distributed to a Unitholder concurrently with the proceeds on disposition or redemption, (the "**Monthly Redemption Amount**"). Payment for the monthly redemption will be made on or before the 15th business day immediately following a Monthly Redemption Date. Unitholders submitting a Class U Unit for redemption will receive in US dollars an amount equal to the US dollar equivalent of the product of (i) the Monthly Redemption Amount and (ii) a fraction, the numerator of which is the most recently calculated Net Asset Value per Unit of Class U Unit and the denominator of which is the most recently calculated Net Asset Value per Unit of a Class A Unit. For such purpose, the Fund will utilize the reference exchange rate current at, or as nearly as practicable to, the Monthly Redemption Date in respect of a monthly redemption of Class U Units.	
Daily Redemption and Exchange	Not applicable.	On any trading day, unitholders may redeem (i) units of the Continuing Fund for cash at a redemption price per unit equal to the lesser of: (a) 95% of the closing price for the units on the TSX (or any other exchange on which the units of the Continuing Fund may be listed) on the effective day of the redemption; and (b) the NAV per unit, less any applicable redemption fee determined by the Manager, in its sole discretion, from time to time. No fees or expenses are paid by Unitholders to the Manager or the Fund in connection with selling Units of the Continuing Fund on the TSX (or any other marketplace on which the Units of the Continuing Fund may be traded). In order for a cash redemption to be effective on a trading day, a cash redemption request with respect to the Continuing Fund

	Fund	Continuing Fund
		must be delivered to the Manager in the form and at the location prescribed by the Manager from time to time at or before the applicable cut-off time on such trading day. Any cash redemption request received after such time will be effective only on the next trading day. Payment of the redemption price will be made by no later than the second trading day after the effective day of the redemption. Units will be redeemed in accordance with customary processes set out by the designated broker or CDS. Unitholders may exchange the applicable prescribed number of units ("**PNU**") (or an integral multiple PNU) of the Continuing Fund on any trading day for baskets of securities and cash, subject to the requirement that a minimum of one PNU be exchanged. To effect an exchange of Units, a Unitholder must submit an exchange request in the form and at the location prescribed by the Continuing Fund from time to time at or before a prescribed time (e.g. 9:00 a.m. (Toronto time)) on a trading day, or such other time prior to the valuation time on the effective date as the Manager may permit. The exchange price will be equal to the NAV of each PNU tendered for exchange determined at the valuation time on the effective date of the exchange request, payable by delivery of a basket of securities (constituted as most recently published prior to the effective date of the exchange request) and/or cash. The applicable units will be redeemed in the exchange. The Manager will also make available to dealers and the designated broker the applicable PNU to redeem units on each trading day.
Fees & Expenses		
Annual Management Fee	1.25% of the NAV of the Fund per annum, plus applicable taxes.	0.60% of the NAV of the Continuing Fund per annum, plus applicable taxes.
Operating Costs & Expenses	All expenses incurred in connection with the operation and administration of the Fund, including without limitation, all costs of portfolio transactions, fees payable to the Trustee, fees payable to the Manager, fees payable to the transfer agent, custodial fees, legal, audit and valuation fees and expenses, any additional fees payable to third party service providers, expenses of the directors	In addition to the payment of the management fee, the Continuing Fund is responsible for (a) Independent Review Committee member fees and expenses, and expenses related to compliance with NI 81-107, (b) a portion of the cost of insurance coverage for members of the Independent Review Committee and director and officer insurance premiums for

	Fund	Continuing Fund
	of the Manager, fees and expenses of the members of the Independent Review Committee and expenses related to compliance with NI 81-107, premiums for directors' and officers' insurance coverage for the directors and officers of the Manager and members of the Independent Review Committee, costs of reporting to Unitholders, registrar and transfer agency costs, printing and mailing costs, listing fees and expenses and other administrative expenses and costs incurred in connection with the continuous public filing requirements of the Fund and investor relations, fees and expenses relating to the voting of proxies by the Sub-Advisor, any services provided by third parties, taxes, brokerage commissions, costs and expenses relating to the issue of securities of the Fund, costs and expenses of preparing financial and other reports, costs and expenses arising as a result of complying with all applicable laws, regulations and policies, extraordinary expenses that the Fund may incur, but excluding the fees payable to the Sub-Advisor, and all amounts paid on account of indebtedness of the Fund. Such expenses will also include expenses of any actions, suit or other proceedings in which or in relation to which the Manager, any member of the Independent Review Committee, the Sub-Advisor, the custodian or the Trustee and/or any of their respective officers, directors, employees, consultants or agents (as applicable) is entitled to indemnity by the Fund.	directors and officers of the Manager, (c) banking, interest charges and principal repayment obligations on account of any indebtedness (if applicable), (d) costs relating to financial instruments including currency hedging, call or put options, or any other derivatives, if applicable, (e) brokerage commissions and other costs of portfolio transactions, (f) any taxes payable by the Continuing Fund or to which the Continuing Fund may be subject, including income taxes, withholding taxes and/or any applicable sales taxes (including GST/HST), (g) the cost of complying with any new governmental or regulatory requirement introduced after the date the Continuing Fund was established including, as applicable, any costs associated with the printing and distribution of any documents that the securities regulatory authorities require be sent or delivered to purchasers of Units of the Continuing Fund, (h) expenditures incurred upon termination of the Continuing Fund, (i) expenses of any action, suit or other proceedings in which or in relation to which the Manager, sub-advisor, members of the Independent Review Committee, the custodian or the trustee (if applicable) and/or any of their respective officers, directors, employees, consultants or agents is entitled to indemnity by the Continuing Fund, to the extent permitted under the Declaration of Trust of the Continuing Fund, (j) expenses relating to meetings of unitholders of the Continuing Fund (if permitted by applicable law), including the preparation, printing and mailing of information to such Unitholders, (k) legal, accounting and audit fees and fees and expenses of the trustee (if applicable), custodian and Manager which are incurred in respect of matters which in the Manager's opinion are not in the normal course of the Continuing Fund's operating activities, and (l) other expenses that the Continuing Fund may incur which, in the Manager's view, are outside of the normal course of business. The Manager is responsible for all other costs and expenses of the Continuing Fund, including the fees payable to the custodian, registrar and transfer agent and other service providers (other than applicable sales taxes, which are borne by the Continuing Fund).

	Fund	Continuing Fund
		The Continuing Fund will indirectly bear the operating expenses, if any (including operating, administrative and portfolio transaction costs) that are borne by each of the other funds in which it invests.

ETF FACTS
BROMPTON WELLINGTON SQUARE INVESTMENT GRADE CLO ETF – CAD UNITS
SEPTEMBER 18, 2025
BROMPTON FUNDS LIMITED
BBBB

This document contains key information you should know about Brompton Wellington Square Investment Grade CLO ETF. You can find more details about this exchange traded fund ("ETF") in its prospectus. Ask your representative for a copy, contact Brompton Funds Limited (the "Manager") at 1-866-642-6001, info@bromptongroup.com *or visit us at www.bromptongroup.com.*

Before you invest, consider how the ETF would work with your other investments and your tolerance for risk.

QUICK FACTS

Date ETF Started:	September 18, 2025	**Fund Manager:**	Brompton Funds Limited
Total Value on September 18, 2025:	This information is not available because the ETF is new	**Portfolio Manager:**	Brompton Funds Limited
Management Expense Ratio (MER):	This information is not available because the ETF is new	**Investment Sub-advisor:**	Wellington Square Advisors Inc.
		Distributions:	Monthly
Trading Information (12 Months Ending September 18, 2025)			
Ticker Symbol:	BBBB	**Average Daily Volume:**	This information is not available because the ETF is new
Exchange:	Toronto Stock Exchange	**Number of Days Traded:**	This information is not available because the ETF is new
Currency:	Canadian dollars		
Pricing Information (12 Months Ending September 18, 2025)			
Market Price:	This information is not available because the ETF is new	**Average Bid-Ask Spread:**	This information is not available because the ETF is new
Net Asset Value (NAV):	This information is not available because the ETF is new		

For more updated Quick Facts, Trading Information and Pricing Information, visit www.bromptongroup.com.

WHAT DOES THE ETF INVEST IN?

This actively managed ETF seeks to provide high monthly income and capital preservation through investment in a portfolio of primarily investment grade collateralized loan obligations ("**CLOs**") (being CLOs rated BBB- or higher (or an equivalent rating thereto) by a designated rating organization) ("**Investment Grade CLOs**"). The ETF will invest in a portfolio of CLOs selected by the sub-advisor with a minimum of 75% of the ETF's portfolio invested in Investment Grade CLOs. The ETF may also invest up to 25% of its portfolio in CLOs rated BB+ to B- (or an equivalent rating thereto) by a designated rating organization provided that no more than 5% of the ETF's portfolio is invested in CLOs rated below BB- (or an equivalent rating thereto) by a designated rating organization. All ratings are measured as at the time of investment. The ETF will seek to hedge substantially all of its direct foreign currency exposure back to the Canadian dollar.

Top 10 Investments (September 18, 2025)

This information is not available because the ETF is new.

Investment Mix (September 18, 2025)

This information is not available because the ETF is new.

HOW RISKY IS IT?

The value of the ETF can go down as well as up. You could lose money.

One way to gauge risk is to look at how much an ETF's returns change over time. This is called "volatility".

In general, ETFs with higher volatility will have returns that change more over time. They typically have greater chance of losing money and may have a greater chance of higher returns. ETFs with lower volatility tend to have returns that change less over time. They typically have lower returns and may have a lower chance of losing money.

Risk Rating

The Manager has rated the volatility of the ETF as **low to medium.**

Because this is a new ETF, this risk rating is only an estimate by the Manager. Generally, the rating is based on how much the ETF's returns have changed from year to year. It doesn't tell you how volatile the ETF will be in the future. The rating can change over time. An ETF with a low risk rating can still lose money.

LOW	LOW TO MEDIUM	MEDIUM	MEDIUM TO HIGH	HIGH

For more information about the risk rating and specific risks that can affect the ETF's returns, see the Risk Factors section of the ETF's prospectus.

No Guarantees

ETFs do not have any guarantees. You may not get back the amount of money you invest.

HOW HAS THE ETF PERFORMED?

This section tells you how CAD units of the ETF have performed with returns calculated using the ETF's net asset value (NAV). However, this information is not available because the ETF is new.

Year-By-Year-Returns

This section tells you how CAD units of the ETF have performed in past calendar years. However, this information is not available because the ETF is new.

Best and Worst 3-Month Returns

This table shows the best and worst returns for the CAD units of the ETF in a 3-month period. However, this information is not available because the ETF is new.

Average Return

This section shows the value and annual compound rate of return of a hypothetical $1,000 investment in CAD units of the ETF. However, this information is not available because the ETF is new.

TRADING ETFS

ETFs hold a basket of investments, like mutual funds, but trade on exchanges like stocks. Here are a few things to keep in mind when trading ETFs:

Pricing

ETFs have two sets of prices: market price and net asset value (NAV).

Market Price:

- ETFs are bought and sold on exchanges at the market price. The market price can change throughout the trading day. Factors like supply, demand and changes in the value of an ETF's investments can affect the market price.
- You can get price quotes any time during the trading day. Quotes have two parts: **bid** and **ask**.
- The bid is the highest price a buyer is willing to pay if you want to sell your ETF units. The ask is the lowest price a seller is willing to accept if you want to buy ETF units. The difference between the two is called the **"bid-ask-spread"**.
- In general, a smaller bid-ask spread means the ETF is more liquid. That means you are more likely to get the price you expect.

Net Asset Value (NAV):

- Like mutual funds, ETFs have a NAV. It is calculated after the close of each trading day and reflects the value of an ETF's investments at that point in time.
- NAV is used to calculate financial information for reporting purposes – like the returns shown in this document.

Orders

There are two main options for placing trades: market orders and limit orders. A market order lets you buy or sell units at the current market price. A limit order lets you set the price at which you are willing to buy or sell units.

Timing

In general, the market prices of ETFs can be more volatile around the start and end of the trading day. Consider using a limit order or placing a trade at another time during the trading day.

WHO IS THIS ETF FOR?

Investors who:

- are looking to receive regular distributions;
- are looking for exposure to primarily Investment Grade CLOs which is hedged back to the Canadian dollar; and
- are able to accept some variability of returns.

A WORD ABOUT TAX

In general, you'll have to pay income tax on any money you make on an ETF. How much you pay depends on the tax laws where you live and whether or not you hold the ETF in a registered plan, such as a Registered Retirement Savings Plan or a Tax-Free Savings Account.

Keep in mind that if you hold your ETF in a non-registered account, distributions from the ETF are included in your taxable income, whether you get them in cash or have them reinvested.

HOW MUCH DOES IT COST?

This section shows the fees and expenses you could pay to buy, own and sell CAD units of the ETF. Fees and expenses can vary among ETFs.

Higher commissions can influence representatives to recommend one investment over another. Ask about other ETFs and investments that may be suitable for you at a lower cost.

1. Brokerage Commissions

You may have to pay a commission every time you buy and sell CAD units of the ETF. Commissions may vary by brokerage firm. Some brokerage firms may offer commission-free ETFs or require a minimum purchase amount.

2. ETF Expenses

You don't pay these expenses directly. They affect you because they reduce the ETF's returns.

The ETF's expenses are made up of the management fee, operating expenses and trading costs. The annual management fee in respect of the CAD units is 0.60% of the class' value. As this ETF is new, operating expenses and trading costs are not yet available.

WHAT IF I CHANGE MY MIND?

Under securities law in some provinces and territories, you have the right to cancel your purchase within 48 hours after you receive confirmation of the purchase.

In some provinces and territories, you also have the right to cancel a purchase, or in some jurisdictions, claim damages, if the prospectus, ETF Facts or financial statements contain a misrepresentation. You must act within the time limit set by the securities law in your province or territory. For more information, see the securities law of your province or territory or ask a lawyer.

FOR MORE INFORMATION

Contact the Manager or your representative for a copy of the ETF's prospectus and other disclosure documents. These documents and the ETF Facts make up the ETF's legal documents.

Brompton Funds Limited
Suite 2930, Box 793
Bay-Wellington Tower, Brookfield Place
181 Bay Street
Toronto, ON M5J 2T3
Phone: (416) 642-6000
Toll free: 1-866-642-6001
E-mail: info@bromptongroup.com
www.bromptongroup.com

ETF FACTS
BROMPTON WELLINGTON SQUARE INVESTMENT GRADE CLO ETF – USD UNITS
SEPTEMBER 18, 2025
BROMPTON FUNDS LIMITED
BBBB.U

This document contains key information you should know about Brompton Wellington Square Investment Grade CLO ETF. You can find more details about this exchange traded fund ("ETF") in its prospectus. Ask your representative for a copy, contact Brompton Funds Limited (the "Manager") at 1-866-642-6001, info@bromptongroup.com *or visit us at www.bromptongroup.com.*

Before you invest, consider how the ETF would work with your other investments and your tolerance for risk.

QUICK FACTS			
Date ETF Started:	September 18, 2025	**Fund Manager:**	Brompton Funds Limited
Total Value on September 18, 2025:	This information is not available because the ETF is new	**Portfolio Manager:**	Brompton Funds Limited
Management Expense Ratio (MER):	This information is not available because the ETF is new	**Investment Sub-advisor:**	Wellington Square Advisors Inc.
		Distributions:	Monthly
Trading Information (12 Months Ending September 18, 2025)			
Ticker Symbol:	BBBB.U	**Average Daily Volume:**	This information is not available because the ETF is new
Exchange:	Toronto Stock Exchange	**Number of Days Traded:**	This information is not available because the ETF is new
Currency:	U.S. dollars		
Pricing Information (12 Months Ending September 18, 2025)			
Market Price:	This information is not available because the ETF is new	**Average Bid-Ask Spread:**	This information is not available because the ETF is new
Net Asset Value (NAV):	This information is not available because the ETF is new		
For more updated Quick Facts, Trading Information and Pricing Information, visit www.bromptongroup.com.			

WHAT DOES THE ETF INVEST IN?

This actively managed ETF seeks to provide high monthly income and capital preservation through investment in a portfolio of primarily investment grade collateralized loan obligations ("**CLOs**") (being CLOs rated BBB- or higher (or an equivalent rating thereto) by a designated rating organization) ("**Investment Grade CLOs**"). The ETF will invest in a portfolio of CLOs selected by the sub-advisor with a minimum of 75% of the ETF's portfolio invested in Investment Grade CLOs. The ETF may also invest up to 25% of its portfolio in CLOs rated BB+ to B- (or an equivalent rating thereto) by a designated rating organization provided that no more than 5% of the ETF's portfolio is invested in CLOs rated below BB- (or an equivalent rating thereto) by a designated rating organization. All ratings are measured as at the time of investment. Any exposure that the portion of the ETF's portfolio which is allocable to the USD units of the ETF has to foreign currencies will not be hedged.

Top 10 Investments (September 18, 2025)

This information is not available because the ETF is new.

Investment Mix (September 18, 2025)

This information is not available because the ETF is new.

HOW RISKY IS IT?

The value of the ETF can go down as well as up. You could lose money.

One way to gauge risk is to look at how much an ETF's returns change over time. This is called "volatility".

In general, ETFs with higher volatility will have returns that change more over time. They typically have greater chance of losing money and may have a greater chance of higher returns. ETFs with lower volatility tend to have returns that change less over time. They typically have lower returns and may have a lower chance of losing money.

Risk Rating

The Manager has rated the volatility of the ETF as **low to medium**.

Because this is a new ETF, this risk rating is only an estimate by the Manager. Generally, the rating is based on how much the ETF's returns have changed from year to year. It doesn't tell you how volatile the ETF will be in the future. The rating can change over time. An ETF with a low risk rating can still lose money.

LOW	LOW TO MEDIUM	MEDIUM	MEDIUM TO HIGH	HIGH

For more information about the risk rating and specific risks that can affect the ETF's returns, see the Risk Factors section of the ETF's prospectus.

No Guarantees

ETFs do not have any guarantees. You may not get back the amount of money you invest.

HOW HAS THE ETF PERFORMED?

This section tells you how USD units of the ETF have performed with returns calculated using the ETF's net asset value (NAV). However, this information is not available because the ETF is new.

Year-By-Year-Returns

This section tells you how USD units of the ETF have performed in past calendar years. However, this information is not available because the ETF is new.

Best and Worst 3-Month Returns

This table shows the best and worst returns for the USD units of the ETF in a 3-month period. However, this information is not available because the ETF is new.

Average Return

This section shows the value and annual compound rate of return of a hypothetical $1,000 investment in USD units of the ETF. However, this information is not available because the ETF is new.

TRADING ETFS

ETFs hold a basket of investments, like mutual funds, but trade on exchanges like stocks. Here are a few things to keep in mind when trading ETFs:

Pricing

ETFs have two sets of prices: market price and net asset value (NAV).

Market Price:

- ETFs are bought and sold on exchanges at the market price. The market price can change throughout the trading day. Factors like supply, demand and changes in the value of an ETF's investments can affect the market price.
- You can get price quotes any time during the trading day. Quotes have two parts: **bid** and **ask**.
- The bid is the highest price a buyer is willing to pay if you want to sell your ETF units. The ask is the lowest price a seller is willing to accept if you want to buy ETF units. The difference between the two is called the **"bid-ask-spread"**.
- In general, a smaller bid-ask spread means the ETF is more liquid. That means you are more likely to get the price you expect.

Net Asset Value (NAV):

- Like mutual funds, ETFs have a NAV. It is calculated after the close of each trading day and reflects the value of an ETF's investments at that point in time.
- NAV is used to calculate financial information for reporting purposes – like the returns shown in this document.

Orders

There are two main options for placing trades: market orders and limit orders. A market order lets you buy or sell units at the current market price. A limit order lets you set the price at which you are willing to buy or sell units.

Timing

In general, the market prices of ETFs can be more volatile around the start and end of the trading day. Consider using a limit order or placing a trade at another time during the trading day.

WHO IS THIS ETF FOR?

Investors who:

- are looking to receive regular distributions;
- are looking for exposure to primarily Investment Grade CLOs; and
- are able to accept some variability of returns.

A WORD ABOUT TAX

In general, you'll have to pay income tax on any money you make on an ETF. How much you pay depends on the tax laws where you live and whether or not you hold the ETF in a registered plan, such as a Registered Retirement Savings Plan or a Tax-Free Savings Account.

Keep in mind that if you hold your ETF in a non-registered account, distributions from the ETF are included in your taxable income, whether you get them in cash or have them reinvested.

HOW MUCH DOES IT COST?

This section shows the fees and expenses you could pay to buy, own and sell USD units of the ETF. Fees and expenses can vary among ETFs.

Higher commissions can influence representatives to recommend one investment over another. Ask about other ETFs and investments that may be suitable for you at a lower cost.

1. Brokerage Commissions

You may have to pay a commission every time you buy and sell USD units of the ETF. Commissions may vary by brokerage firm. Some brokerage firms may offer commission-free ETFs or require a minimum purchase amount.

2. ETF Expenses

You don't pay these expenses directly. They affect you because they reduce the ETF's returns.

The ETF's expenses are made up of the management fee, operating expenses and trading costs. The annual management fee in respect of the USD units is 0.60% of the class' value. As this ETF is new, operating expenses and trading costs are not yet available.

WHAT IF I CHANGE MY MIND?

Under securities law in some provinces and territories, you have the right to cancel your purchase within 48 hours after you receive confirmation of the purchase.

In some provinces and territories, you also have the right to cancel a purchase, or in some jurisdictions, claim damages, if the prospectus, ETF Facts or financial statements contain a misrepresentation. You must act within the time limit set by the securities law in your province or territory. For more information, see the securities law of your province or territory or ask a lawyer.

FOR MORE INFORMATION

Contact the Manager or your representative for a copy of the ETF's prospectus and other disclosure documents. These documents and the ETF Facts make up the ETF's legal documents.

Brompton Funds Limited
Suite 2930, Box 793
Bay-Wellington Tower, Brookfield Place
181 Bay Street
Toronto, ON M5J 2T3
Phone: (416) 642-6000
Toll free: 1-866-642-6001
E-mail: info@bromptongroup.com
www.bromptongroup.com